

Table 1: The sample of patent pools. The table presents information on the 63 pools analyzed in the paper, including the year in which the pool was formed, the Standard Industrial Classification (SIC) that most closely describes the pool's subject matter, the number of members initially included in the pool, the nationalities of the entities initially in the pool, and the manner in which the pool agreement(s) were located.

<i>Pool Subject Matter</i>	<i>Year of Formation</i>	<i>Closest SIC Code</i>	<i>Initial Pool Membership</i>	<i>Nations Represented</i>	<i>Source of Contract</i>
Pneumatic Straw Stackers	1895	3523	3	U.S.	NAR
Duplicating Machines	1912	3579	2	U.S.	NAR
Automobiles	1915	3711	146	U.S.	USC
Railroad Couplers	1916	3743	6	U.S.	NAR
Aircraft	1917	3721	8	U.S.	USC
Braking Systems	1924	3714	2	U.S.	NAR
Plate Glass	1924	3211	4	U.S.	NAR
Sand-Spun Pipe	1924	3321	7	U.S.	NADC
Dyestuffs	1925	2865	11	Ger.; U.S.	USC
Magnesium	1927	3339	2	U.S.	USC
Metal Dies	1928	3544	2	Ger.; U.S.	USC
Cast Iron Pipe	1929	3321	4	U.S.	NADC
Coated Abrasives	1929	3291	9	U.S.	NAR
Petroleum Refining-JASCO	1929	2911	4	Ger.; U.S.	USC
Petroleum Refining-Hydro Patents	1930	2911	4	Ger.; U.S.	USC
Water Conditioning Apparatus	1930	3589	3	Ger.; U.K.; U.S.	NAR
Grinding Hobs	1931	3545	3	U.S.	NAR
Magnesium Alloys	1931	3339	2	Ger.; U.S.	USC
Rail Joint Bars	1931	3312	3	U.S.	NAR
Railroad Springs	1932	3493	3	U.S.	NAR
Hydraulic Oil Pumps	1933	3561	3	U.S.	NADC
Machine Tools	1933	3541	4	U.S.	NAR
Petroleum Refining-Gray Processes Co.	1933	2911	5	U.S.	USC
Petroleum Refining-JUIK Group	1933	2911	5	U.S.	USC
Phillips Screws	1933	3452	2	U.S.	NAR
Television/Radio Apparatus-Australia	1933	3651	2	Australia	NAR
Beryllium	1934	3339	3	Ger.; U.S.	USC
Electrical Equipment	1934	3600	3	Ger.; U.S.	NAR
Lecithin	1934	2070	5	Den.; Ger.; U.S.	NAR
Petroleum Refining-Fractional Distillation	1934	2911	5	U.S.	USC
Polymeric Acrylic Acid	1934	2821	2	Ger.; U.S.	USC
Variable Condensers	1934	3629	3	U.S.	NAR
Acrylic Acid For Laminated Glass (Plexigum)	1935	3229	2	U.S.	NAR
Dyestuffs	1935	2865	5	Switz.; U.S.	USC
Petroleum Refining-Gas Polymerization	1935	2911	5	U.S.	USC

General Chemical	1936	2800	3	Can.; U.K.; U.S.	USC
Male Hormones	1937	2833	4	Ger.; Switz.; U.S.	NAR
Wrinkle Finishes	1937	3582	2	U.S.	NAR
Dropout Cutouts	1938	3643	2	U.S.	NAR
Inductive Heat Treatment	1938	3547	2	U.S.	NAR
Ophthalmic Frames	1938	3851	2	U.S.	NAR
Petroleum Refining-Hydrocarbon	1938	2911	5	Ger.; U.S.	USC
Pour Depressants	1938	2911	3	U.S.	NAR
Slip Covers	1938	2221	3	U.S.	NADC
Petroleum Refining-Alkylation	1939	2911	4	U.K.; U.S.	USC
Dyestuffs	1940	2865	3	U.K.; U.S.	USC
Television Equipment	1942	3651	3	U.S.	NAR
Television/Radio Apparatus-Canada	1943	3651	4	Can.; U.S.	NAR
Alginate (Dental) Impression Powder	1947	3843	3	U.S.	NADC
Plastic Artificial Eyes	1948	3842	2	U.S.	NAR
Television/Radio Apparatus-Great Britain	1948	3651	5	Neth.; U.K.	NAR
Tractor Cabs	1948	3537	3	U.S.	NAR
Daylight Fluorescent Pigments	1949	2816	4	U.S.	NADC
Glass Fibers	1956	3229	3	Jap.; U.S.	NAR
Sewing Machines	1956	3639	3	It.; Swed.; U.S.	NAR
Laser Eye Surgery	1992	3845	2	U.S.	FTCFOIA
MPEG-2 Digital Video	1997	3652	8	Jap.; Neth.; U.S.	DOJFOIA
DVD-ROM, DVD-Video	1998	3652	3	Jap.; Neth.	DOJFOIA
Wireless Personal Area Networking-Bluetooth	1998	3663	9	Fin.; Jap.; Swed.; U.S.	POOL
1394 Digital Data Transfer Interface	1999	3577	6	Japan; Neth.; U.S.	POOL
DVB-T - Digital Broadcasting	1999	3663	4	Fr.; Jap.; Neth.	POOL
DVD-ROM, DVD-Video	1999	3652	6	Jap.; U.S.	DOJFOIA
3G-Mobile Communications	2001	3663	19	Fin.; Fr.; Ger.; It.; Jap.; Neth.; S.K.	POOL

Notes: Standard Industrial Codes ending with one or more zeros indicate that the pool covered multiple subclasses within a given classification.

National abbreviations: Can. = Canada; Den. = Denmark ; Fin. = Finland; Fr. = France; Ger.= Germany; It. = Italy; Jap. = Japan; Neth. = The Netherlands ; S.K. = South Korea; Swed. = Sweden; Switz. = Switzerland; U.K. = United Kingdom ; U.S. = United States.

Source abbreviations: DOJFOIA = U.S. Department of Justice Freedom of Information Act request; FTCFOIA = U.S. Federal Trade Commission Freedom of Information Act request; NADC = U.S. Department of Justice files in the National Archives (Suitland, Maryland); NAR=District court docket files in the regional facilities of the National Archives (Boston; Chicago; Kansas City; and New York City); POOL = provided directly by patent pool administrator; USC = published hearings or unpublished files of the U.S. congressional investigations.