

# DANIEL BERGSTRESSER

## Curriculum Vitae

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### CURRENT POSITION

ASSISTANT PROFESSOR, Harvard Business School, Finance Unit, Boston, 2002-.

### PAST POSITIONS

PRINCIPAL and HEAD OF EUROPEAN CREDIT RESEARCH, Barclays Global Investors, Fixed Income Group, London, 2006-2007 (on leave from Harvard Business School).

RESEARCH ASSISTANT, Federal Reserve Board, Economic Activity Section, Washington DC, 1995-1997.

### EDUCATION

MASSACHUSETTS INSTITUTE OF TECHNOLOGY, Ph.D. in Economics, 1997-2002. *Thesis*: Essays on the taxation and regulation of financial markets. *National Science Foundation Graduate Fellowship*.

STANFORD UNIVERSITY, A.B. in Economics, 1995.

### PUBLISHED PAPERS

Do after-tax returns affect mutual fund inflows? (with James Poterba), *Journal of Financial Economics*, 63, 381-414, 2002.

Asset allocation and asset location decisions: Household evidence from the Survey of Consumer Finances (with James Poterba), *Journal of Public Economics*, 88, 1893-1915, 2004.

CEO incentives and earnings management: Evidence from the 1990s (with Thomas Philippon), *Journal of Financial Economics*, 80, 511-529, 2006.

Managerial motivations in pension funding and asset allocation decisions (with Mihir Desai and Josh Rauh). *Quarterly Journal of Economics*, 121, 157-195, 2006. Best symposium paper at 2004 European Finance Association meetings.

Assessing the costs and benefits of brokers in the mutual fund industry (with John Chalmers and Peter Tufano), *Review of Financial Studies*, forthcoming.

#### PUBLISHED DISCUSSIONS

Discussion of 'Overinvestment of free cash flow' (by Scott Richardson). Forthcoming, *Review of Accounting Studies*. Best discussion paper at 2005 *Review of Accounting Studies* conference.

#### PUBLISHED HBS CASES

UAL 2004: Pulling out of bankruptcy (with Ken Froot and Darren Smart). Harvard Business School Case 205-090.

Banco Hipotecario S.A. (with Arthur Segel and Alexandra de Royere). Harvard Business School Case 206-102.

Primus 2007. Harvard Business School Case 208-099.

#### WORK IN PROGRESS

Market concentration and commercial bank loan portfolios, 2005.

Investment taxation and portfolio performance (with Jeffrey Pontiff), 2008. Paper accepted for presentation at several conferences to be held in 2008.

Co-movement in credit spreads (with Robin Greenwood), 2008.

#### PAPER PRESENTATIONS

1999: NBER Summer Institute (with Poterba).

2001: MIT, Federal Reserve Bank of St. Louis.

2002: Harvard Business School, Columbia University, Cornell University, University of Chicago, University of North Carolina, Duke University, University of Miami, University of Texas, Federal Reserve Board, Federal Reserve Bank of New York, University of California-Davis, University of Oregon, Federal Reserve Bank of Chicago, Western Finance Association.

2003: American Finance Association, Federal Reserve Bank of Chicago, Western Finance Association.

2004: European Finance Association (award for best symposium paper), Harvard Business School, Texas Christian University.

2005: University of Florida, HEC-Montreal, Stanford University, Michigan State University, Barclays Global Investors.

2006: American Finance Association, Arizona State University, Washington University, Northwestern University, Harvard University Economics Department.

2008 (Scheduled): NBER Public Economics meetings, College of William and Mary, University of Kentucky, Western Finance Association.

### PROFESSIONAL ACTIVITIES

Member: American Economic Association, American Finance Association, Western Finance Association.

Invited discussant: 2002 CEPR/BBVA conference; 2003 North Carolina Tax Conference; 2004 Financial Research Association; 2005 Western Finance Association; 2005 Financial Management Association; 2006 American Finance Association; 2007 American Finance Association.

Referee: *American Economic Review*; *Financial Services Review*; *Journal of Financial Economics*; *Journal of Finance*; *Journal of Financial and Quantitative Analysis*; *Journal of Financial Intermediation*; *Journal of Investment Consulting*; *Journal of Money, Credit, and Banking*; *Journal of Public Economics*; *Journal of Risk and Insurance*; *Quarterly Journal of Economics*, *Review of Economics and Statistics*, *Review of Financial Studies*.